



**KNOW-YOUR-CLIENT (KYC) FORM - ESTATE ACCOUNT**

<b>Identity Details</b>		
Estate Name:		
State of Origin	L.G.A	
Postal Address (if different):		
Residential Address		
Email Address:		
Telephone:		
CHN No With other Stockbroker:		
Please Note: Failure to declare your CHN number with other Stockbroker will attract a <b>FINE</b> of <b>N5,000.00</b>		
<b>Source of Funding</b>		
Annual Turnover: Less than N5m / N5m – N50m / N50m – N500m / N500m and Above		
Purpose of Investment:		
<b>Bank Account Details (Your Bank Account Name should correspond with your CSCS Account Name)</b>		
Bank:	Account Name:	
Account No:	Date Of Creation:	Branch:
<b>Details of Administrators / Signatories</b>		
Name (Surname first):		
Date of Birth (dd/mm/yyyy):	Email Address	
Telephone:	Signature:	
Residential Address:		
Name (Surname first):		
Date of Birth (dd/mm/yyyy):	Email Address	
Telephone:	Signature:	
Residential Address:		
Name (Surname first):		
Date of Birth (dd/mm/yyyy):	Email Address	
Telephone:	Signature:	
Residential Address:		

**Questionnaire**

Have any of your Directors occupied any Political Position?

Yes / No      If yes, please give details: \_\_\_\_\_

Has any close relative/associate of any of the Directors occupied a Political Position?

Yes / No      If yes, please give details: \_\_\_\_\_

**Declaration**

We attest that all information provided herein is accurate and would notify you to update your records where any change occurs. We agree to be bound by the terms and conditions governing the operation of a stockbroking account.

*Signature and Date (please seal):*

**For Official Use Only**

Verified by: \_\_\_\_\_ Signature and Date: \_\_\_\_\_

Approved by: \_\_\_\_\_ Signature and Date: \_\_\_\_\_

**Document Check List**

	Yes	No
Completed KYC form	<input type="checkbox"/>	<input type="checkbox"/>
Means of Identification of the Signatories <i>(e.g. International Passport, Driver's License, National ID Card)</i>	<input type="checkbox"/>	<input type="checkbox"/>
Passport Photograph of the Signatories	<input type="checkbox"/>	<input type="checkbox"/>
Letter of Administration	<input type="checkbox"/>	<input type="checkbox"/>
Probate letter	<input type="checkbox"/>	<input type="checkbox"/>
Banker's Confirmation of the Administrators to the Estate	<input type="checkbox"/>	<input type="checkbox"/>
Original Death Certificate	<input type="checkbox"/>	<input type="checkbox"/>
Newspaper Advertisement Showing the Obituary of the Deceased	<input type="checkbox"/>	<input type="checkbox"/>
Original Share Certificate	<input type="checkbox"/>	<input type="checkbox"/>
Signature Mandate	<input type="checkbox"/>	<input type="checkbox"/>
Letter Authorising The Opening of an Account	<input type="checkbox"/>	<input type="checkbox"/>