



HOUSE, No 8, Ola Ayinde Street,
 Off Mobolaji Bank Anthony Way/Toyin Street Ikeja,
 Lagos State, Nigeria.
 TEL: 08178618007, 08026452448; Email: info@signetng.com

KNOW-YOUR-CLIENT (KYC) FORM - CORPORATE

Identity Details		
Company Name		
Industry		
Date of Incorporation	RC Number:	
Country of Incorporation		
Registered Address		
Postal Address (if different)		
Company's Website Address		
Email Address		
Telephone		
CHN No With other Stockbroker:		
Please Note: Failure to declare your CHN number with other Stockbroker will attract a FINE of N5,000.00		
Source of Funding		
Annual Turnover: Less than N5m / N5m – N50m / N50m – N500m / N500m and Above		
Purpose of Investment		
Bank Account Details (Your Bank Account Name should correspond with your CSCS Account Name)		
Bank	Account Name	
Account Number	Date Account Was Opened	BVN
Details of Directors / Signatories		
Name (Surname first)		
Position Held	Date of Birth (dd/mm/yyyy)	
Telephone/Email Address	Signature	
Residential Address		
Name (Surname first)		
Position Held	Date of Birth (dd/mm/yyyy)	
Telephone/Email Address	Signature	
Residential Address		
Name (Surname first)		
Position Held	Date of Birth (dd/mm/yyyy)	
Telephone/Email Address	Signature	
Residential Address		

Questionnaire

How did you know about Signet (Referee)?

Internet a friend signet staff family others: Relationship: Parent Child Spouse Others:

Details of Referee

Name:

Telephone No:

Address

Have any of your Directors occupied any Political Position?

Yes No If yes, please give details :

Date: From : To:

Has any close relative/associate of any of the Directors occupied a Political Position?

Yes No If yes, please give details

Date: From: To:

Declaration

We attest that all information provided herein is accurate and would notify you to update your records where any change occurs. We agree to be bound by the terms and conditions governing the operation of a stockbroking account.

*Signature and Date (please seal)***For Official Use Only**

Verified by: Signature and Date:

Approved by: Signature and Date:

Document Check List

	Yes	No
Completed KYC form	<input type="checkbox"/>	<input type="checkbox"/>
Means of Identification of the Signatories <i>(e.g. International Passport, Driver's License, National ID Card)</i>	<input type="checkbox"/>	<input type="checkbox"/>
Proof of address (e.g. Copy of recent utility bill Showing client's name and current address or backed up by Tenancy Agreement or receipt of payment)	<input type="checkbox"/>	<input type="checkbox"/>
Passport Photograph of the Signatories	<input type="checkbox"/>	<input type="checkbox"/>
CTC of Certificate of Incorporation	<input type="checkbox"/>	<input type="checkbox"/>
CTC of Memorandum and Articles of Association	<input type="checkbox"/>	<input type="checkbox"/>
CTC of CAC Form C02	<input type="checkbox"/>	<input type="checkbox"/>
CTC of CAC Form C06	<input type="checkbox"/>	<input type="checkbox"/>
CTC of CAC Form C07	<input type="checkbox"/>	<input type="checkbox"/>
Board Resolution Authorizing the Opening of an Account	<input type="checkbox"/>	<input type="checkbox"/>
Board Resolution detailing the authorized signatories to the account	<input type="checkbox"/>	<input type="checkbox"/>

IMPORTANT NOTICE

To help the Federal Government of Nigeria fight the funding of terrorism and money laundering activities, the money laundering (Prohibition) Act 2011 and subsequent amendments thereto requires all financial and non-financial institutions to obtain, verify and record information that identifies each person who opens an account. In this regard when you open an account with us at Signet Investments & Securities Limited, we will ask for your name, address, means of identification, occupation and other information that will enable us identify you and verify your identity on an ongoing basis.

Furthermore, in compliance with money laundering (Prohibition) Act 2011 and subsequent amendments thereto, any single transaction, lodgment or transfer of funds in excess of N5, 000,000 or its equivalent in the case of an individual or N10, 000,000 or its equivalent in the case of a body corporate shall be reported in writing within 7days to the Nigerian financial intelligence unit (NFIU) and the Securities and Exchange Commission (as applicable).